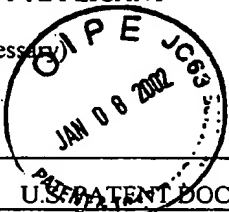


FORM PTO-1449 (Modified) (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 38610.0004		APPLICATION NO. 09/822,261	
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT William A. Knaus et al.		<div style="transform: rotate(-45deg); font-weight: bold;">RECEIVED</div> <div style="transform: rotate(-45deg); font-weight: bold;">JAN 14 2002</div> <div style="transform: rotate(-45deg); font-weight: bold;">Technology Center 2100</div>	
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EXAMINER INITIAL	A	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MDP	A	5	3	2	5	2	9	4	6/28/94	Keene	364	413.01	
	B	5	4	6	5	0	8	2	11/7/95	Chaco	340	825.54	
	C	5	7	2	1	7	7	7	2/24/98	Blaze	380	4	
	D	5	8	3	2	4	8	8	11/3/98	Eberhardt	707	10	
	E	5	8	6	7	8	2	1	2/2/99	Ballantyne et al.	705	2	
	F	5	8	9	9	9	9	8	5/4/99	McGauley et al.	707	104	
	G	5	9	1	5	2	4	0	6/22/99	Karpf	705	2	
	H	5	9	2	4	0	7	4	7/13/99	Evans	705	3	
	I	5	9	3	0	7	5	9	7/27/99	Moore et al.	705	2	
	J	5	9	4	6	6	5	9	8/31/99	Lancelot et al.	705	3	
	K	5	9	7	4	3	8	9	10/26/99	Clark et al.	705	3	
	L	6	0	3	1	9	1	0	2/29/00	Deindl et al.	380	25	
	M	6	0	3	2	1	1	9	2/29/00	Brown et al.	705	2	
	N	6	0	7	3	1	0	6	6/6/00	Rozen et al.	705	3	
	O	6	1	3	1	0	9	0	10/10/00	Basso, Jr., et al.	706	23	

FOREIGN PATENT DOCUMENTS															
EXAMINER INITIAL	A	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
	P													YES	NO
	Q														
	R														
	S														

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
T	Kenneth C. Laudon, <i>Markets and Privacy</i> , Communications of the ACM, September 1996, Vol., 39, No. 9, pages 92-104.
U	
V	
W	
X	

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				FILING DATE April 2, 2001		GROUP 2131	

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EXAMINER INITIAL	A	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
mep	A	5	3	0	1	1	0	5	4/5/94	Cummings, Jr.	364	401	
	B	5	9	4	8	0	6	1	9/7/99	Merriman, et al.	709	219	
	C	6	0	2	6	3	7	4	2/15/00	Chess	705	26	
	D	6	0	2	9	1	4	1	2/22/00	Bezos, et al.	705	27	


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E	F	G	H	DOCUMENT NUMBER				DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
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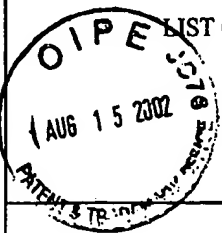

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
mep	I PHARMATRAK: Tracks Consumer Behavior on the Web California Healthline, 8/15/00
	J MATRIA HEALTHCARE: Web Site Helps Doctors Monitor Patients Online California Healthline, 8/16/00
	K Ehealthcare Firms Fail to Deliver What Doctors Want: Standardization Healthleaders.com, 8/16/00
	L California Health Care Leaders Work Together in Anticipation of Federal HIPAA Regulations [HIT listserv], 8/16/00
	M Incompatible Software at Hospitals a Big Contributor to Increased Patient Care Costs, Healthleaders.com, 12/4/00
	N Virginia Company Wins \$20M Contract to Develop Government Healthcare Web Site, Healthleaders.com, 12/4/00
	O Prominent Health Experts Unveil Universal Guidelines to Protect Patient Privacy American Medical Association, 1/30/01
	P URAC Works on 'Reliable' Health Web Site Accreditation Standards California Healthline, 1/31/02
	Q Advisory Groups Put Health Data Network at Top of Agenda, Healthleaders.com, 2/9/01
	R Privacy vs. Productivity, Wall Street Journal, 3/8/01
	S WESSEL, David, "Privacy vs. Productivity: A Tough Choice", <i>The Wall Street Journal</i> , 3/8/01, WL-WSJ 2856504

EXAMINER <i>mull</i>	DATE CONSIDERED 11/22/04
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
mjp	T	LANDRO, Laura, "Health-Policy Groups Put Data Network on Government Agenda", <i>The Wall Street Journal</i> , 2/9/01					
	U	www.wellmed.com; posted 11/3/00					
	V	GERTZEN, Jason, "JS Online: Web is Prescription for Change in Medicine", 12/3/00, <a href="http://www.jsonline.com/bym/news/dec00/web04120300a.asp">http://www.jsonline.com/bym/news/dec00/web04120300a.asp</a>					
	W	CHEA, Terence, "Integic Wins Contract For Health-Care Web Site", <i>Washingtonpost.com</i> , 12/4/00					
	X	CHIN, Tyler, "Seal of Approval for Online Health Sites May Be Coming", <i>AMNews.com</i> , 11/27/00					
	Y	MARKOFF, John, "Microsoft Confronts Privacy Fears", <i>The New York Times on the Web</i> , 3/20/01					
	Z	<a href="http://www.hiethics.org/Press/Releases/001212.asp">http://www.hiethics.org/Press/Releases/001212.asp</a> ; 12/13/00					
	AA	<a href="http://www.healthlawyers.org/home.asp?TopUrl=top_generic.htm&amp;MenuUrl=menu.htm&amp;BodyUrl=ehealth_promo.htm">http://www.healthlawyers.org/home.asp?TopUrl=top_generic.htm&amp;MenuUrl=menu.htm&amp;BodyUrl=ehealth_promo.htm</a> ; 12/13/00					
	BB	LEMONS, Robert, "Health Sites Seek Consumer Trust with Audit", <i>CNET News.com</i> , 12/12/00					
	CC	KLEINKE, J.D., "Vaporware.com: The Failed Promise of The Health Care Internet", <i>Health Affairs</i> , 11/12-2000					
	DD	MARKS, Richard D., "Analysis & Perspective: Guidelines for Initiating HIPAA Systems Implementation Projects", <i>Electronic Commerce &amp; Law</i> , BNA, Inc., 5/13/00, Vol. 5, No. 18, pgs. 468-474					
	EE	eHealth Law Update, 12/13/00-12/14/00, "Hi-Ethics, TURSTe to Develop 'eHealth Seal'", California Healthline, 12/13/00, <a href="http://www.hiethics.org">http://www.hiethics.org</a>					
	FF	"Medscape Launches Personal Health Records", 12/5/00					
	GG	LAUDON, Kenneth C., "Markets and Privacy", <i>Communications of the ACM</i> , September 1996, Vol. 39, No. 9					
	HH	Waagemann, C. Peter, "Personal Health Records", TEPR 2001 Proceedings, 5/16/01					
	II	SQUIRES, Sally, "The Doctor is [Plugged] In", <i>The Washington Post</i> , Health: Tech Week, pgs. 10-12, 5/15/01					
	JJ						
EXAMINER 				DATE CONSIDERED 4/22/04			
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 <b>LIST OF REFERENCES CITED BY APPLICANT</b> (Use several sheets if necessary)				<b>RECEIVED</b> AUG 19 2002 Technology Center 2100	
				APPLICANT William A. Knaus, et al.	
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<b>FOREIGN PATENT DOCUMENTS</b>					
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS SUBCLASS TRANSLATION YES NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>					
MDP	A	ADVANI, A. et al., "Integrating a Modern Knowledge-Based System Architecture with a Legacy VA Database: The ATHENA and EON Projects at Stanford", Proc AMIA Symp 1999:653-7, July 16, 2002			
	B	GADD, CS et al., "Information Resources Assessment of a Healthcare Integrated Delivery System", Proc AMIA Symp 1999:525-9, July 16, 2002			
	C	SZETO, et al., "Accuracy of Computerized Outpatient Diagnoses in a Veterans Affairs General Medicine Clinic", The American Journal of Managed Care Vol. 8, No. 1, pp. 43; January 2002			
	D	"What if your hospital informatics department could provide a severity adjuster?", Critical Care Medicine, Vol. 28, No. 10, October 2000			
	E	RENDER, et al., "Automated Computerized Intensive Care Unit Severity of Illness Measure in the Department of Veterans Affairs: Preliminary Results", Critical Care Medicine, Vol. 28, No. 10, October 2000			
	F	SHORTLIFFE, et al., "How Will the Information Revolution Change the Practice of Medicine?", ABIM Summer Conference, Stanford University School of Medicine, August 4, 1997			
	G	MCGUIRE, Michael R., "Automation of the Patient Medical Record: Steps Toward a Universal Patient Record", www.uprforum.com, Edition 21, June 6, 2001			
	H	SCHOENBERG, et al., "Internet Based Repository of Medical Records That Retains Patient Confidentiality", BMJ 2000; 321:1199-1203; 11/11/00			
	I	BAKER, Dixie B., "PCASSO: A Model For Safe Use of the Internet in Healthcare", Journal of AHIMA; March 2000; 71/3			
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STATEMENT BY APPLICANT**

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Application Number	09/822,261
Filing Date	April 2, 2001
First Named Inventor	William A. KNAUS
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket Number	38610.0004

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

*Examiner or Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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MDP		WO	98 15910 A	4/16/1998	Myron G. Schultz, et al.		<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>

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Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issued number(s), publisher, city and/or country where published	Translation
MDP		Terry T. May, "Medical Information Security: The Evolving Challenge," Proc. Of the 32nd Int. Camahan Conf. On Security Technology, 12-14 October 1998, pp. 85-92.	

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